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APTO-1390 (AEV.:11-2000)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

P/63020-PCT U.S. APPLICATION NO (16902 337 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/IB00/00839

INTERNATIONAL FILING DATE May 12, 2000

PRIORITY DATE CLAIMED May 12, 1999

ATTORNEY'S DOCKET NUMBER

TITLE OF INVENTION SINGLE SIDEBAND MIXER
APPLICANT(S) FOR DO/EO/US Hardial GILL; Gregor GERHARD; Stefan KOCH
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.
<ul> <li>3. X This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> </ul>
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. X is attached hereto (required only if not communicated by the International Bureau).
b. has been communicated by the International Bureau.
c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. X is attached hereto.  b. As been previously submitted under 35 U.S.C. 154(d)(4)
a. X is attached hereto.
b. has been previously submitted under 35 U.S.C. 154(d)(4).
a. are attached hereto (required only if not communicated by the International Bureau).
a. are attached here to (required only if not communicated by the International Bureau).
b. have been communicated by the International Bureau.
c. have not been made; however, the time limit for making such amendments has NOT expired.
c. have not been made; however, the time limit for making such amendments has NOT expired.  d. have not been made and will not be made.  8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  An English language translation of the annexes to the International Preliminary Examination Report under PCT
An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11 to 20 below concern document(s) or information included:
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. X A FIRST preliminary amendment.
14. A SECOND or SUBSEQUENT preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. X Other items or information: Receipt Acknowledgement Postcard

S. APPLICATION NO (if findwn	160 GCR 19 9 2 3	INTERNATIONAL APPLICATION NO PCT/IB00/00839		ATTORNEY'S DOC P/63020-PC	
21. X The following	ng fees are submitted:			CALCULATION	S PTO USE ONLY
BASIC NATIONAL FI Neither international p nor international searce	EE (37 CFR 1.492 (a) (1 preliminary examination character (37 CFR 1.445(a) reh Report not prepared	on fee (37 CFR 1.482) (2)) paid to USPTO	\$1,040.00	<u> </u>	D TTO GGE GART
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International prelimin and all claims satisfie	ary examination fee (3 d provisions of PCT A	7 CFR 1.482) paid to USPTO rticle 33(1)-(4)	\$100.00		
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Total claims	8 - 20 =	0	x \$18.00	\$0.00	
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489 Fifth Avenue		L & SCHIFFRIILLER, F.C.	Alan Isra	ael	
New York, New Y (212) 697-3750			NAME		
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Docket No.: P/63020

# PATENTS IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. <u>EL 3379 11 649 US</u> in an envelope addressed to: Box: PCT, Commissioner of Patents and Trademarks, Washington, D.C., 20231, on:

November 9: 2001

(date)

Reg. No. 27,564

International Application No.:

PCT/IB00/00839

**International Filing Date** 

May 12, 2000

In re: Application of

Hardial GILL

Deposited

November 9, 2001

For

SINGLE SIDEBAND MIXER

New York, New York November 9, 2001

# PRELIMINARY AMENDMENT

BOX: PCT

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee and before examination, kindly amend the above captioned application as follows:

# **IN THE CLAIMS**:

Please cancel claims 1-8, without prejudice.

Please add the new set of claims set forth on the enclosed pages.

# **IN THE ABSTRACT**:

Delete the "Abstract" on the PCT cover sheet and replace it with the "Abstract of the Disclosure" set forth on a separate sheet attached hereto.

# **REMARKS**

An abstract has been provided on a separate sheet; and the claims have been amended to conform to U.S. practice.

Wherefore, an early action on the merits is earnestly solicited.

Respectfully submitted,

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# **ABSTRACT OF THE DISCLOSURE**

A single sideband mixer for radio frequency signals is integrated on a uniform semiconductor substrate in a space-saving manner. The inventive single sideband mixer comprises two double-sideband mixers which are switched with identical first signals and with phase quadrature second signals in order to form a product signal from the two signals. The single sideband mixer also comprises an adder for superimposing the two product signals to form an output signal with a sideband. An amplifier is connected in an input circuit to each mixer in order to generate the first signal, and the amplifiers have inputs which are connected to the same signal source via a forked line. The signal source of the amplifiers can be a pre-amplifier or can be an input of the single sideband mixer directly.

# **PROPOSED NEW CLAIMS**

- 9. A single sideband mixer circuit for high frequency signals, comprising: two double sideband mixers each being wired with identical first signals and with second signals phase-shifted by 90° relative to each other, in order to form a product signal from the first and second signals of each mixer; an adder for superimposing the product signal from each mixer to form an output signal with one sideband; and two amplifiers for generating the first signals, each amplifier being connected upstream of each mixer, the amplifiers having inputs connected to a same signal source via a forked line.
- 10. The single sideband mixer circuit according to claim 9, wherein the signal source is a preamplifier.
- 11. The single sideband mixer circuit according to claim 9, wherein each first signal is a radio frequency signal, and wherein each second signal is a local oscillator signal, and further comprising a first 90° coupler connected to a local oscillator input of the single sideband mixer circuit to generate the second signals.
- 12. The single sideband mixer circuit according to claim 9, wherein the signal source is a signal input of the single sideband mixer circuit.
- 13. The single sideband mixer circuit according to claim 12, wherein each first signal is a local oscillator signal, and wherein each second signal is an intermediate frequency signal, and further comprising a first 90° coupler connected to an intermediate frequency input of the single sideband mixer circuit to generate the second signals.

- 14. The single sideband mixer circuit according to claim 9, and further comprising a final amplifier for the product signal arranged between an output of each double sideband mixer and the adder.
- 15. The single sideband mixer circuit according to claim 9, wherein each double sideband mixer, each amplifier, and the adder are integrated on a single semiconductor substrate.
- 16. The single sideband mixer circuit according to claim 11, wherein the adder is a second  $90^{\circ}$  coupler.

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# Single Sideband Mixer

The invention concerns a single sideband mixer for high frequency signals. Such mixers are used in high frequency technology to generate a lower frequency signal (intermediate frequency, IF) from a higher frequency signal (radial frequency, RF) (down-converter or demodulator) or to generate a higher frequency signal from a lower frequency signal (up-converter or modulator). The IF signal is produced in the down-converter by mixing of the RF signal with the LO signal (local oscillator, LO). The RF signal is produced in the up-converter by mixing of the IF signal with the LO signal. Simple multiplication of an input signal (RF or IF) with the LO signal produces output signals with two sidebands shifted into the IF or RF range. A single sideband mixer produces only one of these two sidebands in the output signal. It is produced by appropriate connection of two individual double sideband mixers, hereinafter referred to simply as mixers. Their function can be understood as a multiplication of their two input signals.

Single sideband mixers for high frequency signals are known, comprising two mixers, each of which is wired with identical first signals and with second signals phase-shifted by 90° relative to each other, in order to form a product signal from the two signals, and an adder element to superimpose the two product signals to an output signal with only one sideband. A typical such demodulator is shown in Fig. 3. The RF signal here is fed from an input 4 via one or more amplifiers 1 and a 0° power divider, for example, a so-called Wilkinson divider, to two mixers 3. A 90° coupler 6 connected to a local oscillator input 5 delivers local oscillator signals, each phase-shifted by 90°, to the corresponding inputs of mixer 3. Intermediate frequency signals, phase-shifted by 90°, with both sidebands are obtained at the outputs of the mixer because of this. These are referred to as in-phase (IF/I) or quadrature-phase signals (IF/Q). These two intermediate frequency signals are combined or superimposed via a second 90° coupler 7 and produce the output intermediate frequency signal. Owing to phase-shifted feed of the local

oscillator signal to the two mixers, an in-phase and anti-phase signal are superimposed by the two sidebands of the in-phase branch and the quadrature branch behind the second 90° coupler 7. Two output signals, each of which contains only one of the two sidebands, are obtained at the two output terminals of the second 90° coupler 7. One of these terminals forms an output terminal 8 of the single sideband mixer; the other output is terminated with the wave impedance, in order to suppress interference signals and noise at the location of the undesired sideband (image frequency).

The power divider 2 of this ordinary single sideband mixer is required in order to decouple the in-phase branch and the quadrature branch of the single sideband mixer, i.e., to prevent the two mixers 3 from mutually influencing each other via their RF inputs. This type of power divider 2 includes coupled lines with a length of  $\lambda/4$ , in which  $\lambda$  is the wavelength of the radio frequency in the line. At technically relevant frequencies, this length corresponds to at least 1 mm. The power divider is therefore a very extensive part in comparison with the other components of the circuit, which is only poorly suited, for cost reasons, for integration on a common semiconductor substrate, together with the amplifiers, mixers or other components of the single sideband mixer. It is therefore used in the form of a discrete component, whose output terminals are connected to the conductor tracks leading to the mixers 3 via bond wires. To obtain good suppression of the undesired sideband at the output of the single sideband mixer, the signal travel times in the two branches must be adjusted to each other with micrometer accuracy, in order to guarantee that the product signals of the two mixers arrive at the second 90° couple 7 in the proper phase position. This can only be guaranteed with difficulty with the wire bonding technique.

### Advantages of the Invention

A single sideband mixer for high frequency signals of the type defined at the outset is devised by the invention, which is readily suited for complete integration on a semiconductor substrate. This advantage is achieved in that an amplifier is connected upline of each mixer, and that these amplifiers have inputs connected to the same signal source via a forked line. The blocking power divider can drop out in this circuit topology, since the amplifiers already guarantee

sufficient decoupling of two branches of the single sideband mixer, in which they block out interference signals issued by the mixers at their input line.

The signal source from which the amplifiers receive their input signal can be a common preamplifier connected at one input. In this case, the first signal is preferably an RF signal and the second signal a LO signal, and a first 90° coupler to generate the second signal is connected to an LO input of the signal sideband mixer.

The signal source can also be a signal input of the single sideband mixer. In this case, for example, the first signal can be a LO signal and the second signal an IF signal, and a first 90° coupler to generate the second signals is connected to an IF input of the sideband mixer.

Additional features and advantages of the invention are apparent from the following description, with reference to the figures.

In the figures

Figure 1 shows a down-converter as first practical example of the invention;

Figure 2 shows an up-converter as second practical example; and

Figure 3, which was already described above, shows an ordinary single sideband mixer.

The down-converter depicted in Figure 1 is fully integrated on a semiconductor substrate made of gallium arsenide. It includes a preamplifier 9 connected to a radio frequency (RF) input 4, whose output is connected via a single forked line 11 to two amplifiers 1, each of which pertain to an in-phase branch and quadrature branch of the single sideband mixer. These amplifiers 1 are based on fast field effect transistors, whose space requirements, on a semiconductor substrate at about  $100 \times 200 \,\mu m$ , are much smaller than those of a power divider. Since the amplifiers and preamplifiers are integrated on a common substrate, bond connections drop out, so that the line lengths between the preamplifier 9 and amplifiers 1, on the one hand, and between the amplifiers

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1 and the mixers 3 connected to their outputs can be stipulated with an accuracy of a few micrometers without difficulty during production. Although the in-phase and quadrature branch of the single sideband mixer each contain one more component than in the ordinary single sideband mixer, it is simpler in the single sideband mixer according to Figure 1 to maintain a desired phase ratio between the signals on the two branches during production.

The mixers 3 connected to the outputs of amplifier 1 also have an input for a local oscillator signal, which they obtain, each phase-shifted by 90°, from a 90° phase coupler 6, to which the local oscillator signal is again fed via an input 5 from the outside. A second input of coupler 6 is terminated with the wave impedance.

The two mixers 3 each deliver an in-phase or quadrature intermediate frequency signal to the inputs of the second 90° coupler 7, one output of which forms the intermediate frequency output of the single sideband mixer, and a second output is terminated with the wave impedance.

The 90° couplers 6 and 7 can each be integrated on the gallium arsenide substrate as hybrid elements.

Figure 2 shows application of the principle of the present invention to an up-converter. This single sideband mixer is also integrated on a single semiconductor substrate. The inputs of the two amplifiers 1 are connected via a simple forked line 11 to an input 5 for a local oscillator signal.

The intermediate frequency signal to be mixed with the local oscillator is fed from an input 4 via a 90° coupler 6 to the two mixers 4, each phase-shifted by 90°. The two mixers 3 generate radio frequency signals with two sidebands from it. These radio frequency signals with two sidebands are again fed to a 90° mixer 7, which superimposes them to two radio frequency signals, each with one sideband, that are delivered at the outputs 8A, 8B.

The use of two amplifiers 1 in this up-converter also permits a power divider to be dispensed with and therefore integration of the entire single sideband mixer on a single semiconductor substrate.

The linearity of the RF path to the mixer outputs is improved by additional amplifiers 10 in front of the 90° coupler 7.

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### Claims

1. Single sideband mixer for high frequency signals with two double sideband mixers (3), each of which are wired with identical first signals and with second signals phase-shifted by 90° relative to each other, in order to form a product signal from the two signals, and an adder element (7) to superimpose the two product signals to an output signal with one sideband, characterized by the fact that an amplifier (1) to generate the first signal is connected upline of each mixer (3), and that the amplifiers (1) have inputs connected to the same signal source (5, 9) via a forked line (11).

- 2. Single sideband mixer according to Claim 1, characterized by the fact that the signal source is a preamplifier (9).
- 3. Single sideband mixer according to Claim 2, characterized by the fact that the first signal is a radio frequency signal and the second signal a local oscillator signal, and that a first 90° coupler (6) is connected to a local oscillator input (5) of the single sideband mixer to generate the second signals.
- 4. Single side band mixer according to Claim 1, characterized by the fact that the signal source is a signal input (5) of the single sideband mixer.
- 5. Single sideband mixer according to Claim 4, characterized by the fact that the first signal is a local oscillator signal and the second signal an intermediate frequency signal, and that a first 90° coupler (6) is connected to an intermediate frequency input (4) of the single sideband mixer to generate the second signals.
- 6. Single sideband mixer according to one of the preceding claims, characterized by the fact that a final amplifier (10) for the product signal is arranged between the output of the double sideband mixer (3) and the adder element (7).

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7. Single sideband mixer according to one of the preceding claims, characterized by the fact that the double sideband mixer (3), the amplifier (1), the adder element (7) and optionally the preamplifier (9), the first 90° coupler (6) or the final amplifier (10) are integrated on a single

8. Single sideband mixer according to one of the preceding claims, characterized by the fact that the adder element is a second 90° coupler (7).

semiconductor substrate.



# PCT WELTORGANISATION FÜR GEISTIGES EIGENTUM Internationales Büro INTERNATIONALE ANMELDUNG VERÖFFENTLICHT NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT)

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- [4] Anwalt: CAMP, Ronald; Marconi Intellectual Property, Waterhouse Lane, Chelmsford, Essex CM1 2QX (GB).

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#### Veröffentlicht

Mit internationalem Recherchenbericht.

(54) Title: SINGLE SIDEBAND MIXER

(54) Bezeichnung: EINSEITENBANDMISCHER

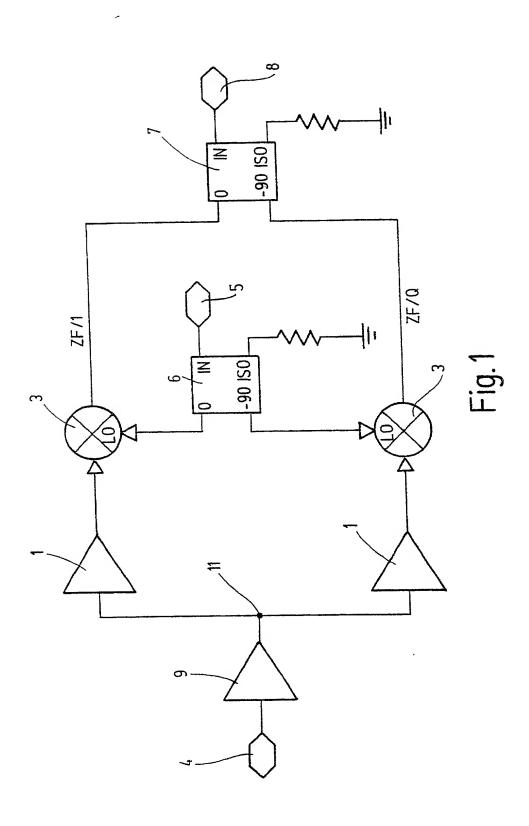
(57) Abstract

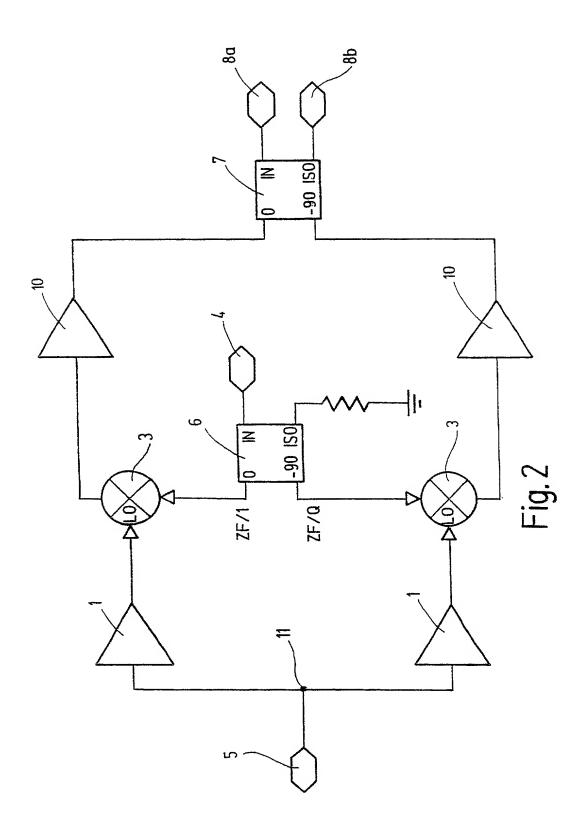
The invention relates to a single sideband mixer for radio frequency signals which can be integrated on a uniform semiconductor substrate in a space-saving manner. The inventive single sideband mixer comprises two double-sideband mixers (3) which are switched with identical first signals and with phase quadrature second signals in order to form a product signal from the two signals. The single sideband mixer also comprises an adder (7) for superimposing the two product signals to form an output signal with a sideband. An amplifier (1) is connected in incoming circuit to each mixer (3) in order to generate the first signal, and the amplifiers (1) have inputs which are connected to the same signal source via a forked line (11). The signal source of the amplifiers (1) can be a pre-amplifier (9) or can be an input of the single sideband mixer directly.

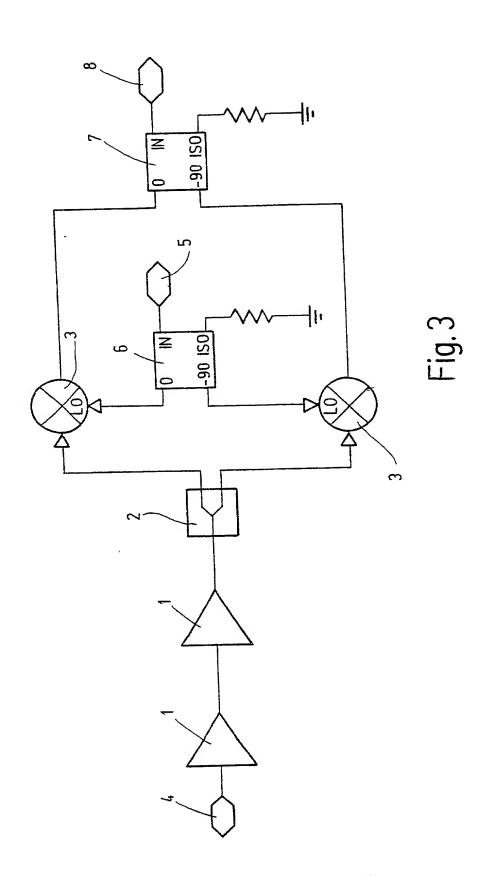
### (57) Zusammenfassung

Ein zur platzsparenden Integration auf einem einheitlichen Halbleitersubstrat geeigneter Einseitenbandmischer für Radiofrequenzsignale umfaßt zwei Zweiseitenbandmischer (3), die mit identischen ersten Signalen und mit um 90° gegeneinander phasenverschobenen zweiten Signalen geschaltet sind, um jeweils ein Produktsignal aus den zwei Signalen zu bilden, und ein Addierglied (7) zum Überlagern der zwei Produktsignale zu einem Ausgangssignal mit einem Seitenband. Zum Erzeugen des ersten Signals ist jedem Mischer (3) ein Verstärcker (1) vorgeschaltet, und die Verstärker (1) haben über eine gegabelte Leitung (11) mit der gleichen Signaquelle verbundene Eingänge. Die Signalquelle der Verstärker (1) kann ein Vorverstärker (9) oder auch direkt ein Eingang des Einseitenbandmischers sein.









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Approved for use through 9/30/98	OMB 0651-003

Palent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Type a plus sign (+) inside this box -Attorney Docket Number 0010/PTO U.S. Department of Commerce Rev. 6/95 Patent and Trademark Office First Named Inventor **DECLARATION FOR** COMPLETE IF KNOWN **UTILITY OR DESIGN Application Number** PATENT APPLICATION **Filing Date** 

Group Art Unit

**Examiner Name** 

Declaration OR Declaration

Submitted after

Initial Filing

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with Initial Filing

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Application Number	0/019,9	23 and was ame	ended on (MM/DD/				(if applicable).
I hereby state that I have re	viewed and u	nderstand the contents of the	above identified s	pecification	on, including	the claims, as amen	ded by any
i acknowledge the duty to d	isclose Inform	ation which is material to pat	entability as define	d in Title	37 Code of	Federal Regutations,	§1.56.
below and have also identified	d below, by d	onal application which design recking the box, any foreion r	iated at least one o modication for nate	numbro of	har then the	Hollard Chatas of A-	orien linkad
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DECLARATION

## Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §385(c) of any PCT International application designating the United States of America, listed below and, insofur as the aubject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I

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# DECLARATION

# ADDITIONAL INVENTOR(S) Supplemental Sheet

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